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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) - CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney Docket No. KNAUTHE-12014

U1.000151810.918

International Application No. PCT/EP2004/013858

International Filing Date: 06 December 2004 (06.12.04)

Priority Date Claimed: 05 December 2003 (05.12.03)

Title Of Invention: Compositions And Methods For The Treatment And Diagnosis Of Neoplastic And Infectious Diseases

APPLICANT(S) FOR DO/EO/US MULTHOFF, Gabriele

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. \Box This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4.

 ☐ The US has been elected (Article 31).
- 5.

 A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \Box is attached hereto (required only if not communicated by the International Bureau).
 - b.

 has been communicated by the International Bureau.
 - c. \Box is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6.
 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. \(\Pi \) have been communicated by the International Bureau.
 - c. D have not been made; however, the time limit for making such amendments has NOT expired.
 - d.

 have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.

 A preliminary amendment.
- 14.

 An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17.

 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20.

 Other items or information: WO 2005/054868 A1; Indications Relating To Deposited Microorganisms Or Other Biological Material (PCT/RO/134); and Notification of Transmittal of International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration (PCT/ISA/220; PCT/ISA/210; PCT/ISA/237).

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U.S. Application No. 81	. 918	International Application No. PCT/EP2004/013858		Attorney's Docket No. KNAUTHE-12014	
				CALCULATIONS	PTO USE ONLY
21. Basic National F		\$ 300.00	\$300.00		
22. Examination Fee ☐ If International Preliminary Examination Report by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ■ All Other Situations			epared \$ 100.00 \$ 200.00	\$200.00	
23. Search Fee Search Fee (37 CFR1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All Other Situations \$500.00				\$400.00	
Total of 21, 22 and $23 =$				\$900.00	
Total Sheets	otal Sheets Extra Sheets		dditional or eof (round up to a	RATE	
67 - 100 0 /50 =		0 x 250		\$0.00	1
Surcharge of \$130.00 for priority date (37 CFR 1.4 CLAIMS		NUMBER EXTRA	than 30 months fro	om the earliest claimed	
TOTAL CLAIMS	12 - 20 =	0	x \$50.00	\$0.00	
INDEPENDENT CLAIMS 1 -		0	x \$200.00	\$0.00	
MULTIPLE DEPENDENT + \$360.0 CLAIM(S) (if applicable)		yes	+ \$360.00	\$360.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,260.00	
△ Applicant claims smal above are reduced by 1/2		FR 1.27. The fe	es indicated		
		\$630.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00	

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U.S. Application No. 10/581918	International Application No. PCT/EP2004/013858	Attorney's Docket No. KNAUTHE-12014				
29,4	TOTAL NATIONAL FEE =	\$630.00				
Fee for recording the enclosed assignments be accompanied by an appropriate \$40.00 per property +	\$0.00					
	TOTAL FEES ENCLOSED =	\$630.00				
		Amount To Be Refunded:	\$			
		Charged:	\$			
a. A check in the amount of \$63	a. A check in the amount of \$630.00 to cover the above fees is enclosed.					
Please charge any my Deposit Account No. <u>08-1290</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
d. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1290. A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.						
Send All Correspondence To:						
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	Date					